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O P TO AN CAUTTAL		Application Number	09/455,805			
TRANSMITTAL		Filing Date	12/07/99			
MAR 0 4 2004 FORM		First Nam_d Inventor	Susan D. W	Voolf et al.		
(to be used for all correspondence after initial filing)		Group Art Unit	2176			
TRANCES OFF			Examiner Name	Nguyen, Ma	aikhanh	
Total Number of Pages in This	s Submission	18	Attorney Docket Number	003797.788	802	
ENCLOSURES (check all that apply)						
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Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences	
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Response to Missing Parts under 37 CFR 1.52 or 1.53			MAR 1 0 2004		Technology Center 2100	
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Firm or Gary Individual name	Firm or Gary D. Fedorochko, Reg. No. 35,509					
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit: 2176
Susan D. Woolf et al.	) Examiner: Nguyen, Maikhanh
Serial Number: 09/455,805	Attorney Docket No. 003797.78802
Filed: December 7, 1999	) Confirmation No. 5591

For: SYSTEM AND METHOD FOR ANNOTATING AN ELECTRONIC DOCUMENT INDEPENDENTLY OF ITS CONTENT

## **INFORMATION DISCLOSURE STATEMENT UNDER 1.97(d)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

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**Technology Center 2100** 

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form – PTO/SB/08a listing art for consideration by the Examiner and a copy of the identified document.

Consideration of this information is respectfully requested.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The present Information Disclosure Statement is being filed after receipt of a final Office Action. Therefore, the Commissioner is authorized to charge Deposit Account No. 19-0733 for the \$180.00 fee under 37 CFR §1.17(p). Should there be a discrepancy, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

**Information Disclosure Statement** 

Serial No. 09/455,805

Page 2

Certification Under 37 C.F.R. §1.97(e)(2)

We hereby certify under 37 CFR §1.97(e)(2) that no item of information

contained in the Information Disclosure Statement filed concurrently herewith was cited

in a communication from a foreign patent office in a counterpart foreign application, and,

to the knowledge of the person signing the certification after making reasonable inquiry,

no item of information contained in the Information Disclosure Statement was known to

any individual designated in § 1.56(c) more than three months prior to the filing of the

Information Disclosure Statement.

It is respectfully requested that the Examiner fully consider the document, initial

the enclosed PTO Form - PTO/SB/08a in the appropriate place to indicate that the

document has been considered, and return a copy of the initialed form to the undersigned

in accordance with MPEP Section 609.

Respectfully submitted,

Date: March 4, 2004

Gary D./Fedorochko

Registration No. 35,509

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## INFORMATION DECL STATEMENT BY AN

(use as many sheets as necessary)

Sheet of 1

Complete if Known				
Application Number	09/455,805			
Filing Date	12/07/99			
First Named Inventor	Susan D. Woolf et al.			
Art Unit	2176			
Examiner Name	Nguyen, Maikhanh			
Attorney Docket Number	003797.78802			

U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
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	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵	
		EP 0 902 379 A2	03-17-1999	Xerox Corp.		
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Examiner Signature	Date Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.